

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Yehuda Turgeman et al.

Application No.: 10/540,735

Confirmation No.: 9109

Filed: June 24, 2005

Art Unit: N/A

For: DETECTION AND PREVENTION OF SPAM

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:

(Check one of the boxes A-D)

- ☐ A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- ☒ B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
- ☐ C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(check one of the boxes "i" and "ii" below:)

- ☐ i. Counsel states that, upon information and belief, each item of information listed herein was
(check one of boxes (a) or (b))
- ☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
- ☐ ii. A check for the fee set forth in 1.17(p), presently believed to be \$180, is enclosed.
- ☐ D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant petitions under 37 C.F.R. 1.97(d) for the consideration of this IDS. Under 37 CFR 1.17(i) a check in the amount of \$180.00 is enclosed. Counsel certifies that, upon information and belief, each item of information listed herein was
(check one of boxes (a) or (b))
- ☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO/SB/08) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A, B and/or C and fill in blanks, if appropriate.)

- ☒ A. Pursuant to the Notice issued by the United States Patent and Trademark Office dated July 11, 2003 waiving the requirements of 37 C.F.R. § 1.98(a)(2)(i), a copy/copies of the United States Patent on PTO/SB08 is/are not being submitted.
- ☐ B. Document(s) _____ is (are) deemed substantially cumulative to document(s) _____, and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.

- ☐ C. Certain documents were previously cited by or submitted to the Office in the following prior applications, which are relied upon under 35 U.S.C. 120:

[SERIAL NO. & FILING DATE].

Applicant identifies these documents by attaching hereto copies of the forms PTO-892, PTO-1449 and/or PTO/SB/08 from the files of the prior application(s) or a fresh PTO/SB/08 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application.

☒ 3. Cite Nos. BA through BD are not in the English language. In accordance with 1.98(c), Applicant states:

- ☒ An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed.
- ☐ The requirement for a concise explanation of the relevance of any foreign language document is satisfied by the attached search report; citation of the documents cited in the search report shall not be construed as an admission that they are or are considered to be, material to patentability of the subject matter claimed herein (See MPEP §609).
- ☐ A concise explanation of the relevance of document(s) _____ is set forth as follows: [Insert concise explanation of relevance]
- ☐ A concise explanation of the relevance of document(s) _____ can be found on page(s) _____ of the specification.
- ☐ A concise explanation of document(s) _____ can be found on the attached sheet.

☒ 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 in the preamble to the final rules; 1135 OG 13 at 20).

- ☐ 5. Other information being provided for the examiner's consideration follows:

[A/An _____ Search Report, dated _____, which issued during the prosecution of _____ Application No. _____ which corresponds to the present application.]

6. In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless other-wise indicated, the date of publication indicated

for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

CROSS REFERENCE UNDER 37 C.F.R. §1.78 TO RELATED APPLICATIONS

Pursuant to 37 C.F.R. (§1.78, Applicant notes that the above-identified patent application may be related to the following U.S. Patent Applications:

- (1) U.S. Provisional Application Serial No 60/436,021, filed December 26, 2002.
- (2) U.S. Provisional Application Serial No 60/488,354, filed July 17, 2003.
- (3) U.S. Provisional Application Serial No 60/489,165, filed July 21, 2003.

Early and favorable consideration is earnestly solicited.

June 16, 2006

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'S. Peter Ludwig', is written over a horizontal line.

S. Peter Ludwig
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete If Known		
				Application Number	10/540,735	
				Filing Date	June 24, 2005	
				First Named Inventor	Yehuda Tugman	
				Art Unit	N/A	
Examiner Name	Not Yet Assigned					
Attorney Docket Number	06727/0203074-US0					
Sheet	1	of	2			

U.S. PATENT DOCUMENTS					
Examiner or Initials*	Cite No.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-6,421,708	07-16-2002	McCormick et al.	
	AB*	US-6,453,327	09-17-2002	Nielsen	
	AC*	US-6,480,050	10-01-2002	Pace et al.	
	AD*	US-6,622,909	09-23-2003	Thomas et al.	
	AE*	US-6,687,740	02-03-2004	Gough et al.	
	AF*	US-6,615,241	09-02-2003	Miller et al.	
	AG*	US-6,691,156	02-10-2004	Drummond et al.	
	AH*	US-6,330,590	12-11-2001	Cotten	
	AI*	US-6,161,130	12-12-2000	Horvitz et al.	
	AJ*	US-6,321,267	11-20-2001	Donaldson	
	AK*	US-6,393,465-A1	05-21-2002	Laeds	
	AL*	US-5,999,932	12-07-1999	Paul	
	AM*	US-6,484,197	11-19-2002	Donohue et al.	
	AN*	US-20020116824-A1	08-29-2002	Herrmann et al.	
	AO*	US-20020116463-A1	08-22-2002	Matthew Hart	
	AP*	US-20020116641-A1	09-06-2005	Mastrianni	
	AQ*	US-20030115485-A1	06-19-2003	Milliken	
	AR*	US-2003/0069933	04-10-2003	Lim et al.	
	AS*	US-20030050988-A1	03-13-2003	Kuchersaw	
	AT*	US-20030068627-A1	07-27-2004	Rothwell et al.	
	AU*	US-20030132972-A1	07-17-2003	Pang	
	AV*	US-2003/0101181	05-29-2003	Al-Kofahi et al.	
	AW*	US-20020198950-A1	12-26-2002	Leeds	
	AX*	US-20020199095-A1	12-26-2002	Bandini et al.	

FOREIGN PATENT DOCUMENTS							
Examiner or Initials*	Cite No.†	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³	Number ⁴ -Kind Code ⁵ (if known)				
	BA	JP	2003348162	12-05-2003	NIPPON ELECTRIC CO		
	BB	JP	2003099372	04-04-2003	FUJITSU LTD		
	BC	JP	2003099371	04-04-2003	TOKYO SHIBAURA ELECTRIC CO		
	BD	JP	2003067327	03-20-2003	SHARP KK		
	BE	WO	03/054,764	07-03-2003	LEE YOUN-SOOK et al.		

Examiner Signature	Date Considered
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				Application Number	10/540,735
				Filing Date	June 24, 2005
				First Named Inventor	Yehuda Turgeman
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	06727/0203074-US0

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinda Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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